Except for a limited amount of ammunition, one small-scale manufacturer of firearms and a small number of ad hoc firearms manufacturers (manufacturing firearms on an occasional basis only), New Zealand does not manufacture SALW. A person who manufactures firearms for sale must hold a dealers licence issued by the Police.

It is an offence to import firearms or parts of firearms into New Zealand without a permit issued by the Police.

The export of certain conventional weapons, including certain SALW listed on the New Zealand Strategic Goods List published by the New Zealand Ministry of Foreign Affairs and Trade, is prohibited unless the consent of the Secretary of the Ministry of Foreign Affairs and Trade is first obtained.

All goods imported into or exported from New Zealand are required by the Customs and Excise Act 1996 to be cleared by the New Zealand Customs Service.

Customs requires import and export clearances in order to:
- Process and validate the information provided using its advanced computer system (known as Cusmod);
- Electronically screen the information provided by importers and exporters to identify risk shipments that may require further action;
- Enforce, using alerts on Cusmod, a wide range import and export prohibitions and restrictions that Customs enforces on behalf of government departments that have policy responsibility for the controls. This includes controls on the import and export of firearms, explosives and goods subject to United Nations sanctions;
- Provide assurance to our trading partners about the security of New Zealand exports; and
- Provide import and export data to Statistics New Zealand to compile
overseas trade data.

Cargo that is identified as of interest to Customs is held for the production of documents or physical inspection as required. Customs is in the process of purchasing X-ray equipment, which will also be used to examine cargo.

All goods exported from New Zealand must be electronically cleared with Customs prior to export, or they will not be loaded on the ship or aircraft for export.

An electronic export entry or a cargo information report (ECI) must be lodged with and cleared by Customs before the goods are loaded for shipment from New Zealand. Export entries are a legal declaration under the Customs and Excise Act 1996. It is an offence under the Act to make an erroneous or false entry or ECI report.

Alerts on Customs' computer system hold shipments of firearms until the exporter produces the approval to export issued by the Secretary of Foreign Affairs and Trade (see above).

Importers of goods into New Zealand are required to lodge an electronic import entry (a declaration under the Customs and Excise Act 1996) with Customs. The entry covers the particulars of the goods, and where required, details of import permit requirements and the payment of any revenue charges.

Alerts on Customs' computer system hold shipments of firearms until the importer has produced a valid permit to import issued by the New Zealand Police under the Arms Act 1983.

Importers may under the Customs and Excise Regulations 1996 lodge import entries prior to the goods' arrival in New Zealand. For goods imported by:

- Sea - the entry may be lodged not more than 5 days before the date of importation into New Zealand; and
- Air - the entry may be lodged not more than 1 day before their date of importation.

Approximately 80% of the import entries are lodged before the goods are imported into New Zealand.

In all cases the import entry for the goods must have been lodged within 20 days of the goods having been imported into New Zealand.

The Hazardous Substances and New Organisms Act and Hazardous Substances Regulations 2001 contain provisions controlling the importation, manufacture and management of explosives (which include ammunition and grenades) and for the tracking of them through each stage of their life cycle to the stage of final use. At present, the provisions in the Hazardous Substances (Tracking) Regulations expressly exclude "safety" (small arms) ammunition.
The Small Arms Programme of Action Coordination Group, comprising representatives from the New Zealand Police, Ministry of Defence, New Zealand Customs Service, Environmental Risk Management Authority, Ministry of Justice and Ministry of Foreign Affairs and Trade consults regularly to coordinate New Zealand government action on Programme of Action issues.

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Concrete examples of actions taken as national point of contact include:

- arranging New Zealand representation at the Programme of Action regional seminar in Tokyo from 20-22 January 2003;
- participating in, and presenting at, the Programme of Action regional seminar in Bali from 10-11 February 2003;
- participating in the first biennial follow-up meeting to the Programme of Action in New York from 7-15 July 2003;
- meeting with NGO representatives interested in Programme of Action issues;
- providing assistance to, and a governmental contact point for, two studies on small arms in the Pacific published last year; and
- inputting the Programme of Action perspective into government consultations on SALW issues.
New Zealand's small-scale manufacturers of firearms all mark their firearms at the time of manufacture. New Zealand law allows the government to make regulations requiring that firearms be marked. Such regulations have not been put in place to date. Pistols, military-style semi-automatic (MSSA) firearms and restricted weapons (prescribed by government), however, must be stamped with an identifying mark when transferred from one civilian to another if the firearm in question does not already feature such a mark.

Except for a limited amount of ammunition, one small-scale firearms manufacturer, and a small number of ad hoc firearms manufacturers (manufacturing firearms on an occasional basis only), New Zealand does not manufacture SALW. A person who manufactures firearms for sale must hold a dealers licence issued by the Police. Please refer to paragraph 1 for details on provisions regulating ammunition in New Zealand.

The primary focus of firearms control in New Zealand is on the lawful possession and use of firearms through licensing individuals. No civilian is allowed to possess a firearm unless he or she is over the age of 16 years and has a firearms licence issued by the Police. A person wishing to hold a firearms licence is required to go through a vetting process that includes, but is not limited to, a criminal record and Police intelligence information check, face to face interviews with the applicant, their spouse/partner/next of kin, an unrelated referee and any other inquiries Police consider required. Licence holders are required to secure firearms, and the physical inspection of security is a key part of the vetting process. Those wishing to possess pistols, restricted weapons or MSSAs undergo additional vetting processes, must hold a higher level of security, and may only use these particular firearms under prescribed circumstances.

In order to lawfully possess pistols, MSSA firearms and restricted weapons an endorsement on a firearms licence is required. Such endorsements set conditions on possessing these firearms and require the holder to demonstrate specific cause to own. Civilian ownership of pistols, MSSA firearms and restricted weapons must be registered. These special categories of weapons amount to around 4% of New Zealand's estimated total stocks of firearms.

The Hazardous Substances and New Organisms Act and Hazardous Substances Regulations 2001 contain provisions controlling the importation, manufacture and management of explosives (which include ammunition and grenades) and for the tracking of them through each stage of their life cycle to the stage of final use. Explosives must be kept at certified (licensed) locations
and under the control of certified (approved) persons. At present, these provisions and the provisions in the Hazardous Substances (Tracking) Regulations to keep records of the holding and transfer of explosives expressly exclude “safety” (small arms) ammunition, although they do cover the explosive components used for the manufacture of small arms ammunition.

All items held by the New Zealand Defence Force (NZDF) are serial numbered, usually by the manufacturer. If not numbered by the manufacturer, they are allocated an NZDF control number, and in some cases may have both.

They are held on the NZDF Logistics Management System by individual serial numbers, and their location is recorded in terms of the unit to which they are issued.

At the unit level, registers are kept of every item, an individual is assigned responsibility for each weapon, and for the armoury in which it is kept. Keys are controlled according to standard practice, and the armouries or containers themselves are constructed and locked in accordance with recognised international standards.

Regulations require that all weapons stores be checked during routine security patrols, that they be opened and the contents counted at specified intervals, and that a full muster be taken periodically as detailed. All weapons issued from a weapons store are signed for, and strict controls exist as to who can draw them under what circumstances. Disciplinary action is taken against an individual found in breach of any instructions on security of weapons.

Police maintain detailed records of SALW possessed, their issue and return to storage. SALW may only be issued with the approval of a responsible officer in charge, in specific authorised circumstances. New Zealand Police do not routinely carry firearms.

Please refer to paragraph 1 for details of New Zealand’s SALW import and export control regimes.

With respect to “measures for international transit”, the importation restrictions outlined in paragraph 1 above do not apply to the harbours and other territorial waters of New Zealand. However, once in New Zealand the re-shipment of transited SALW to a point outside New Zealand would be caught by New Zealand’s export control regime.
Goods that are:

(a) Transhipped through New Zealand, i.e. off-loaded in New Zealand - require Customs approval before they may be loaded on the exporting ship or aircraft;
(b) Transited through New Zealand i.e. remain on board the ship or aircraft - the New Zealand Parliament is currently considering an amendment to the Customs and Excise Act 1996 which will require the owner of the ship or aircraft to provide Customs with an electronic inward report of all goods on the ship or aircraft. Information on transited cargo will be checked by Customs computer system for goods such as firearms, which are subject to New Zealand’s import and export prohibitions.

New Zealand controls on the export of SALW are implemented through the Customs Export Prohibition Order 2002, promulgated under the Customs and Excise Act 1996. That order requires the consent of the Secretary of Foreign Affairs and Trade (the Secretary) for the export of items identified in the New Zealand Strategic Goods List (NZSGL) which is publicly available on www.mfat.govt.nz. The NZSGL is updated regularly to reflect changes in the various multilateral non-proliferation/export control regimes to which New Zealand belongs. The Munitions List of the NZSGL contains the list of SALW that are subject to export controls. This sub-list is largely derived from the Wassenaar Arrangement and contains items, which have a specific military use, as well as non-military firearms.

Once an export application is received, the Ministry of Foreign Affairs and Trade (MFAT) consults with relevant government departments and government agencies and assesses the application in accordance with its set standard criteria (contained within the NZSGL). MFAT also has the discretion to ask the exporter to supply an end-user certificate (this form is also contained in the NZSGL) to help ensure that SALW are not diverted.
There are few arms brokers based in New Zealand and there are no specific controls on brokering although as brokers are considered to offer firearms for sale they are caught by the regulations covering firearms dealers.

No person is allowed to set up business selling, or manufacturing for sale, firearms, airguns, pistols or restricted weapons without a licence issued by the Police. Those licences must be endorsed to allow possession of pistols, MSSA firearms or restricted weapons if the business concerned deals in those items.

Examples of the controls on dealers’ activities include:

- employees and agents of dealers must be firearms licence holders and those licences must also be endorsed if in the course of their duties they are to come into possession of pistols, MSSA firearms or restricted weapons;

- dealers must keep records of all firearms coming into their possession and details of transfers to customers;

- provision for Police audit of dealers’ records; and

- ensuring that firearms are securely stored.

Penalties for illicit dealing activities include:

- 3 months’ imprisonment or a fine of up to NZ$1,000 or both for selling a firearm to an unlicensed person or dealer;

- a fine of up to NZ$1,000 for supplying ammunition to someone who is not a holder of a firearms or dealers licence; and

- 3 years’ imprisonment or a fine of up to NZ$4,000 for selling or supplying a pistol, MSSA firearm or restricted weapon to a person who doesn’t hold a permit to import/procure that weapon.
Section 18 of the Firearms Manual (issued by the Police) deals with the disposal of firearms by the Police. Broadly, under the Firearms Manual the Police are authorised to destroy firearms:

- pursuant to a court order;
- when authorised by the Area Controller;
- when firearms are delivered to the Police following surrender or revocation of a firearms licence; or
- where a firearm, airgun, pistol, restricted weapon, ammunition or explosive is seized or detained under the Arms Act by the Police (other than as a result of revocation or surrender of a firearms licence) provided that certain procedures, such as trying to first locate the owner, are followed.

Apart from weapons destroyed as part of regional assistance programs or international peacekeeping efforts (refer to paragraph 15), the NZDF policy is to hold a small number of obsolete weapons for museum or training purposes, and all other surplus/obsolete weapons are destroyed. There are stringent controls on the destruction process, including management of key spare parts such as firing mechanisms.

Please refer to paragraph 6.

SALW purchased by Police are held in secure storage facilities located at a central supplier who operates under a dealers licence and the provisions of the Arms Act 1983 and Arms Regulations 1992. SALW issued to tactical squads are held in separate secure storage within Police stations that operate on a 24-hour basis. General issue firearms are held in secure storage within Police stations under the supervision of the Officer in Charge. When on issue they are the responsibility of the member of the Police to whom they are issued. New Zealand Police do not routinely carry firearms.
New Zealand’s firearms legislation features a “permanent amnesty provision” for pistols and restricted weapons. In practice, this also applies to MSSAs. Persons who wish to dispose of any pistol, restricted weapon or MSSA may do so by delivering it to a licensed firearms dealer or the Police. In the last two years the Police have invested approximately NZ$200,000 into promoting the licensing, security and safe use of firearms.

The Peace Disarmament and Education Trust, a trust formed by the New Zealand government to administer compensation funds received from the French government following the Rainbow Warrior incident, has commissioned the research for books on small arms in the Pacific and Southeast Asia. The New Zealand Official Development Assistance Programme (now known as NZAID) contributed to funding for a report on “Small Arms in the South Pacific” published by the Small Arms Survey. NZAID has recently contributed funding to a follow-up project, to be coordinated by the Small Arms Survey, which will train Pacific Island community researchers to report on the success or otherwise of small arms programmes in the Pacific to date. NZAID has also provided funding to the European Centre for Conflict Prevention for research, consultation and writing up of the Pacific component of a publication “Searching for Peace in Southeast Asia and the Pacific” due out in August 2004.

The New Zealand Government provided funding for, and participated in, a hui (meeting) held this year looking at establishing a Conflict Resolution Network for the Pacific. It also funded participation by a Pacific Island disarmament NGO representative at the biennial follow-up meeting on the Programme of Action on the Illicit Trade in Small Arms and Light Weapons (Programme of Action) in New York in July 2003.

Police have consulted, and will continue to consult, with the firearms using community on the development of legislation, policy and practice aimed at enhancing the safe use and control of firearms. The Disarmament Division of the Ministry of Foreign Affairs and Trade is in regular contact with the SALW NGO community.

MFAT conducts outreach on export controls on strategic goods, which include SALW, to the exporting community through various publications, seminars and visits to individual businesses.
New Zealand has not experienced large-scale conflict on its territory for over a century. However, New Zealand has contributed to post-conflict measures in Bougainville and Solomon Islands. Efforts have included post-conflict destruction of weapons, reintegration of ex-combatants and managing the risk of resumed conflict. A number of basic principles have been drawn upon including the need for community development parallel to the disarmament process, the importance of integration of the disarmament process with post-conflict confidence building, including restoring public confidence in the police and military, and community “ownership” of the process. Please refer to paragraph 15 for further details of New Zealand’s contribution in the Pacific.

The New Zealand Defence Force participates in the Quadrilateral ('Quadrilats') Working Group meetings whose membership comprises New Zealand, Australia, the United States and France. The defence representatives of each country meet annually to discuss, coordinate and/or reduce duplication in their respective national security assistance programs for the Pacific region.

Within this regional framework, a major focus of the NZDF’s mutual assistance programme (MAP) at present is helping regional countries’ defence and police forces to improve the security, storage, maintenance and management of their weapons. Assistance has thus far been provided to Solomon Islands, Vanuatu, Cook Islands, Samoa and Tonga. Until recently, the NZDF (and representatives from other government agencies) were part of the efforts in Bougainville to bring about the decommissioning of weapons under its peace agreement. At the invitation of the Solomon Islands Government, the NZDF and the New Zealand Police, together with other Pacific Island countries’ forces, lent support to that country to help deal with the cycle of lawlessness and economic decline that had resulted from conflict worsened by SALW. The programme has met with considerable success in restoring law and order. This achievement was made possible both by the willingness of the people to move on from the recent past and, significantly, the surrender of over 75% of the high-powered military style weapons that were removed from the Royal Solomon Islands Police Armoury. NZDF conducts refresher courses on armoury security where required.

The Oceanic Customs Organisation (OCO) performs an important coordination role in the Pacific. The OCO engages in a range of activities to strengthen links between its 23 member countries, including the Customs Regional Intelligence Network (CRIN) that provides an overview of trafficking patterns in the region. OCO members submit reports of seizures, methods of transportation and methods of detection to the Intelligence Section of the New
New Zealand Customs Service, which updates CRIN data and publishes a quarterly bulletin.

The New Zealand Customs Service has access to the Customs Asia Pacific Enforcement Reporting System (CAPERS). CAPERS is an international based information and reporting system which is used in a number of OCO member countries throughout the Pacific region. CAPERS has been developed by the United States Customs Service.

The purpose of CAPERS is to “Encourage greater co-operation, communication and liaison between participating members” and will achieve this by “fostering a co-ordinated approach to Customs enforcement matters by providing free exchange of information and assistance in the investigation of violations of Customs or other law enforcement offences.”

Information sharing and cooperation with New Zealand’s Pacific partners may take place when assessing an export application to the Pacific region. MFAT may request information on:

1. the attitude of the importing country to the import;
2. the current political/security situation in the importing country;
3. the degree to which the importing country can scrutinise and monitor the proposed imports;
4. the nature and character of the proposed end-use and user; and
5. other relevant information.

In practice, the exchange of information is achieved through New Zealand diplomatic posts in the importing countries.

New Zealand shares information with the French government on exports of sporting firearms to New Caledonia and French Polynesia.

New Zealand also shares relevant information with Australia.

The Pacific Islands Forum (PIF) is an annual meeting of the leaders of Pacific Island nations. A significant development for the PIF was the “Biketawa Declaration” made by Forum leaders in 2000, under which the Forum Secretary-General was assigned a specific role in monitoring possible sources of conflict and developing methods of dispute settlement and conflict avoidance to prevent their developing into open conflict. In August last year PIF Leaders endorsed the Draft Weapons Control Bill, model small arms legislation for the Pacific region.
None in the year to date. The New Zealand Government is considering the introduction of legislation to implement the Firearms Protocol to the Convention on Transnational Organised Crime the incidental effect of which would be to enhance New Zealand's compliance with the Programme of Action.