## SECTION 1: POINTS OF CONTACT

### PoA II.4
1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects?
   - Yes

   **a)** Name of agency: NATIONAL FOCAL POINT ON SMALL ARMS
   **b)** Address: MINISTRY OF INTERNAL AFFAIRS, P.O.BOX 7191 KAMPALA UGANDA
   **c)** Contact details:
      - i) Contact person: MR. OKELLO MAKMOT WILFRED COMMISSIONER OF POLICE
      - ii) Telephone number(s): +256782001095/+256714893720
      - iv) Email: nfp@utlonline.co.ug

### PoA II.5
2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)?
   - Yes

   **2.1. Details:**
   - a) Name: OKELLO MAKMOT WILFRED (CP)
   - b) Organization or agency: NATIONAL FOCAL POINT ON SMALL ARMS AND LIGHT WEAPONS
   - c) Address: MINISTRY OF INTERNAL AFFAIRS, P.O.BOX 7191 KAMPALA UGANDA
   - d) Telephone number(s): +256414252091
   - f) Email: nfp@utlonline.co.ug

### ITI 25
2.2 Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)?
   - Yes

## SECTION 2: MANUFACTURE

### PoA II.2
3. Are there any SALW manufactured in your country?
   - No

### PoA III.6
4. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture?
   - Yes

   **4.1** What kind of assistance do you require?
   - Financial and Technical Assistance

   **4.2** Has your country developed a project proposal for assistance?
   - Yes

## SECTION 3: INTERNATIONAL TRANSFERS

### PoA II.11
5. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?
   - Yes

   **5.1.** List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW.
   - National Policy on Firearms, Firearms Act (under revision), Customs and Exercise Act Civil Aviation Authority, Police Act and Standing Orders, Uganda Peoples Defence Force Act, Anti-Terrorism Act

### PoA II.11
5.2. Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country?
   - Yes

### PoA II.3
5.3.
Is it a criminal offence to trade SALW without a licence or authorisation, or to do so in a manner that is in contradiction to the terms of a licence or authorisation, in your country?

PoA II.11

5.4. What kind of documentation does your country require prior to authorising an export of SALW to another country?

PoA II.12

a) An end-user certificate (EUC) from the importing country
   i) What elements does an end-user certificate in your country contain (check relevant boxes)?
   1) Detailed description (type, quantity, characteristics) of the SALW or technology
   2) Contract number or order reference and date
   3) Final destination country
   4) Description of the end-use of the SALW
   5) Exporter's details (name, address and business name)
   6) End-user information (name, position, full address and original signature)
   7) Information on other parties involved in the transaction
   8) Certification by the relevant government authorities of the authenticity of the end-user
   9) Date of issue
   10) Other

b) Other types of end-user documentation

PoA II.12

5.5. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided?

Yes

5.5.1 Details:

5.6. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?

Yes

5.6.1 Details:
Uttering falsified documents is a criminal offence under the penal code act and the National Policy on Firearms

Sources

Post-delivery controls

5.7. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?

Yes

5.8. After exporting, does your country verify or seek to authenticate DVCs provided?

Yes

5.8.1 Details:
Arms Exported by Uganda is for Peace Keeping Missions, e.g. Central African Republic and Somalia.

5.9. When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery?

Yes

Sources

Marking at import

5.10. Does your country require that SALW imported into your country be marked at the time of import?

Yes

5.10.1. Who is required to mark the SALW?
The Manufacturer

5.10.2. What information is included in the marking on import (check relevant boxes)?
a) Country of import
b) Year of import
c) Other

5.10.3. Are there exceptions to the requirement to mark imported SALW?

No

5.10.4. If marked SALW imported into your country do not bear a unique marking when they arrive, does your country require that they be given such a marking?

Yes

5.10.4.1 Details:
We either return to the Manufacturer mark or at point of entry

Sources

Record-keeping

5.11. Does your country require that exporters and importers of SALW keep records of their activities?

Yes

5.11.1. What information must be recorded (check relevant boxes)?
a) Quantity of SALW traded
b) Type or model of SALW traded
c) Markings appearing on transferred SALW
d) Transactions
   i) Identity of buyer/seller
ii) Country SALW are to be delivered to or purchased from

iii) Date of delivery

e) Other

5.11.2. How long must records of transfers be kept?
In line with the National Policy on Firearms, record be kept for not less than 25 years and thereafter be transferred to the National Archives.

5.12. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)?

5.12.1 Details.

6. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?

6.1. What kind of assistance do you require?
Financial and Technical Assistance.

6.2. Has your country developed a project proposal for assistance?

SECCTION 4: BROKERING

7. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW?

7.1. List laws and/or administrative procedures regulating SALW brokering in your country.
The National Policy on Firearms.

7.2. Does your country require registration of SALW brokers?

7.3 Does your country require a licence, permit or other authorisation for each brokering transaction?

7.4. Does your country regulate activities that are closely associated with the brokering of SALW?

7.4.1. If so which of the following activities are regulated when undertaken in connection with the brokering of SALW (check relevant boxes)?

a) Acting as dealers or agents
b) Providing technical assistance
c) Training
d) Transport
e) Freight forwarding
f) Storage
g) Finance
h) Insurance
i) Maintenance
j) Security
k) Other services

7.5 During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)?

8. Does your country wish to request assistance in developing laws, regulations or administrative procedures to regulate SALW brokering?

8.1. What kind of assistance do you require?
Financial and Technical Assistance

8.2. Has your country developed a project proposal for assistance?  

SECTION 5: STOCKPILE MANAGEMENT

PoA II.17  
9. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW?  

PoA II.17  
9.1. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?

- a) Appropriate locations for stockpiles  
- b) Physical security measures  
- c) Control of access to stocks  
- d) Inventory management and accounting control  
- e) Staff training  
- f) Security, accounting and control of SALW held or transported by operational units or authorised personnel  
- g) Procedures and sanctions in the event of theft or loss  
- h) Other

PoA II.18  
9.2. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?

- a) Officially declare as surplus  
- b) Take out of service  
- c) Record by type, lot, batch, and serial number  
- d) Store separately  
- e) Other

PoA II.19  
9.3. In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?

- a) Destruction  
- b) Sale to another State  
- c) Donation to another State  
- d) Transfer to another state agency  
- e) Sale to civilians  
- f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.)  
- g) Other

PoA II.20  
9.4. During the reporting period, has your country destroyed surplus stocks?  

9.4.1. How many SALW were destroyed?  
Various types of SALW, 1983 tons of UXO  

9.4.2. Any further comments regarding destruction?  
Destruction activities can only be done with availability of funds

PoA II.29; III.6  
10. Does your country wish to request assistance in developing standards and procedures on stockpile management?  

10.1. What kind of assistance do you require?  
Financial and Technical Assistance

10.2. Has your country developed a project proposal for assistance?
11. Does your country wish to request assistance in developing capacity for the destruction of weapons?
   Yes

11.1. What kind of assistance do you require?
   Verification, Identification and collection of SALW and UXOs for destruction

11.2. Has your country developed a project proposal for assistance?
   Yes

SECTION 6: COLLECTION

12. During the reporting period, did your country collect any SALW?
   Yes

12.1. How many SALW were collected?

12.2. What action was taken with respect to the SALW collected (check relevant boxes)?
   a) Stored securely pending further action
   Yes
   b) Marked
   Yes
   c) Registered or recorded
   Yes
   d) Destroyed
   Yes
   e) Other

SECTION 7: MARKING AND RECORD KEEPING

14. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?
   Yes

14.1. Describe the markings that are applied to government-held stocks.
   UG*, Agency code and Serial number

14.2. When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?
   Yes

15. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?
   Yes

15.1 Details
   Upcoming legislation has a relevant provision. Uganda does not manufacture SALW

16. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory?
   Yes

16.1. What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc)?
   The Central Firearms Registry (CFR) maintains records for Imports, Exports, Dealers, Gun Smiths as well as Agencies and Civilian holding firearms

16.2. How long does the government keep such records?
   For not less than 25 years

16.3. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?
   Yes

17. Does your country wish to request assistance in building capacity for record-keeping?
   Yes
17.1. What kind of assistance do you require?
International assistance

17.2. Has your country developed a project proposal for assistance? Yes

SECTION 8: INTERNATIONAL TRACING

18. Does your country have procedures in place to trace SALW? Yes

18.1. Which government agency is responsible for making a tracing request to another country?
National Central Bureau (Interpol)

18.2. What information does the designated agency include in a tracing request? (check relevant boxes)
a) Circumstances under which the SALW was found Yes
b) Reasons why the SALW is considered to be illegal or illicit Yes
c) The intended use of the information being sought No
d) Any markings on the SALW Yes
e) Type/calibre of SALW Yes
f) Other No

19. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL)? Yes

20. Does your country wish to request assistance in developing procedures to trace SALW? Yes

20.1. What kind of assistance do you require? Technical Assistance

20.2. Has your country developed a project proposal for assistance? No

21. Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW? No

SECTION 9: INTERNATIONAL COOPERATION & ASSISTANCES

22. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI? Yes

22.1 If so, in what areas (check relevant boxes)?
a) Establishing/designating National Coordination Agency/National Point of Contact Received
   i) financial Received
   ii) technical --- Select ---

b) Amount of assistance provided/received (if financial):
   $50,000 from UK and $40,000 from RECSA WRA funds (US).

c) Description of the assistance activity:
b. Disarmament, demobilization and reintegration (DDR)

c. Capacity-building and training on SALW issues
   a) Nature of the assistance:
      i) financial Requested
      ii) technical Requested
   b) Amount of assistance provided/received (if financial):
   c) Description of the assistance activity:
      UK and RECSA, as well as US (Embassy).
   d) Duration of the assistance provided/received:
      1 year
   e) State(s) or organization(s) that provided/received the assistance:
      UNDP, UK and RECSA, as well as US (Embassy).

b. Law enforcement

e. Customs and borders
   a) Nature of the assistance:
      i) financial Received
      ii) technical --- Select ---
   b) Amount of assistance provided/received (if financial):
   c) Description of the assistance activity:
      6 pick-up vehicles for border control.
   d) Duration of the assistance provided/received:
   e) State(s) or organization(s) that provided/received the assistance:
      Japan International Cooperation Agency (JICA)

f. Action-oriented research
   a) Nature of the assistance:
      i) financial --- Select ---
      ii) technical --- Select ---
   b) Amount of assistance provided/received (if financial):
   c) Description of the assistance activity:
   d) Duration of the assistance provided/received:
   e) State(s) or organization(s) that provided/received the assistance:

b. Children/youth
   a) Nature of the assistance:
      i) financial --- Select ---
      ii) technical --- Select ---
   b) Amount of assistance provided/received (if financial):
   c) Description of the assistance activity:
   d) Duration of the assistance provided/received:
   e) State(s) or organization(s) that provided/received the assistance:

h. Awareness-raising
   a) Nature of the assistance:
i) financial  Requested
ii) technical  — Select ---

b) The amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

i. Organized crime, drug trafficking and terrorism

a) Nature of the assistance:

i) financial  Requested
ii) technical  — Select ---

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:
Equipment e.g. walk-through detectors and probes, bomb detectors, vehicles for customs.
d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

j Other  — Select ---

Any further comments on PoA and ITI, including implementation challenges and opportunities?

Inadequate resources. Proliferous borders. Weak legal framework (under review). Institutional challenges, establishing district and regional task forces.

Additional information may be uploaded/attached, if necessary:

SECTION 10: INFORMATION TO BE SUBMITTED

a) National marking practices related to marking used to indicate country of manufacture and/or country of import as applicable.
Code: UG*, national identification number.

Additional information such as images and illustrations may be uploaded/attached, if necessary:

Note: Relevant information might have been provided in questions 4.2.1, 4.2.2 and 18.1 in this report.