



UN Programme of Action

National Reporting Tool

Uganda

2016

SECTION 1: POINTS OF CONTACT

Sources National Coordination Agency

PoA II.4 1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects? Yes

- a) Name of agency : NATIONAL FOCAL POINT ON SMALL ARMS
 b) Address : MINISTRY OF INTERNAL AFFAIRS, P.O.BOX 7191 KAMPALA UGANDA
 c) Contact details :
 i) Contact person : MR. OKELLO MAKMOT WILFRED COMMISSIONER OF POLICE
 ii) Telephone number(s) : +256782001095/+256714893720
 iv) Email : nfp@utlonline.co.ug

Sources National Point of Contact

PoA II.5, 24 2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)? Yes

2.1. Details:

- a) Name : OKELLO MAKMOT WILFRED (CP)
 b) Organization or agency : NATIONAL FOCAL POINT ON SMALL ARMS AND LIGHT WEAPONS
 c) Address : MINISTRY OF INTERNAL AFFAIRS, P.O.BOX 7191 KAMPALA UGANDA
 d) Telephone number(s) : +256414252091
 f) Email : nfp@utlonline.co.ug

ITI 25 2.2 Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)? Yes

SECTION 2: MANUFACTURE

Sources Laws, regulations and administrative procedures

PoA II.2 3. Are there any SALW manufactured in your country? No

Sources International assistance

PoA III.6 4. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture? Yes

4.1 What kind of assistance do you require?

Financial and Technical Assistance

4.2 Has your country developed a project proposal for assistance? Yes

SECTION 3: INTERNATIONAL TRANSFERS

Sources Laws, regulations and administrative procedures

PoA II.2, 12 5. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW? Yes

PoA II.11 5.1. List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW.

National Policy on Firearms, Firearms Act (under revision), Customs and Exercise Act Civil Aviation Authority, Police Act and Standing Orders, Uganda Peoples Defence Force Act, Anti-Terrorism Act

Sources Licensing and authorisation

PoA II.11 5.2. Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country? Yes

PoA II.3 5.3. Yes

Is it a criminal offence to trade SALW without a licence or authorisation, or to do so in a manner that is in contradiction to the terms of a licence or authorisation, in your country?

PoA II.11 5.4. What kind of documentation does your country require prior to authorising an export of SALW to another country?

PoA II.12 a) An end-user certificate (EUC) from the importing country Yes

i) What elements does an end-user certificate in your country contain (check relevant boxes)?

1) Detailed description (type, quantity, characteristics) of the SALW or technology

2) Contract number or order reference and date

3) Final destination country

4) Description of the end-use of the SALW

5) Exporter's details (name, address and business name)

6) End-user information (name, position, full address and original signature)

7) Information on other parties involved in the transaction

8) Certification by the relevant government authorities of the authenticity of the end-user

9) Date of issue

10) Other

PoA II.12 b) Other types of end-user documentation

5.5. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided? Yes

5.5.1 Details:

5.6. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation? Yes

5.6.1 Details:
Uttering falsified documents is a criminal offence under the penal code act and the National Policy on Firearms

Sources Post-delivery controls

5.7. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State? Yes

5.8. After exporting, does your country verify or seek to authenticate DVCs provided? Yes

5.8.1 Details
Arms Exported by Uganda is for Peace Keeping Missions, e.g. Central African Republic and Somalia.

5.9. When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery? Yes

Sources Marking at import

ITI 8b 5.10. Does your country require that SALW imported into your country be marked at the time of import? Yes

5.10.1. Who is required to mark the SALW?
The Manufacturer

5.10.2. What information is included in the marking on import (check relevant boxes)?

a) Country of import

b) Year of import

c) Other Serial Number of the Firearm

5.10.3. Are there exceptions to the requirement to mark imported SALW? No

5.10.4. If marked SALW imported into your country do not bear a *unique* marking when they arrive, does your country require that they be given such a marking? Yes

5.10.4.1 Details:
We either return to the Manufacturer mark or at point of entry

Sources Record-keeping

PoA II.9; ITI 12 5.11. Does your country require that exporters and importers of SALW keep records of their activities? Yes

5.11.1. What information must be recorded (check relevant boxes)?

a) Quantity of SALW traded

b) Type or model of SALW traded

c) Markings appearing on transferred SALW

d) Transactions

i) Identity of buyer/seller

- ii) Country SALW are to be delivered to or purchased from
- iii) Date of delivery
- e) Other

5.11.2. How long must records of transfers be kept?

In line with the National Policy on Firearms, records must be kept for not less than 25 years and thereafter be transferred to the National Archives

Other

Sources Actions taken during the reporting period

- PoA II.6 **5.12. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)?** Yes
- 5.12.1 Details.

Sources International assistance

- PoA III.6 **6. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?** Yes
- 6.1. What kind of assistance do you require?
Financial and Technical Assistance
- 6.2. Has your country developed a project proposal for assistance? Yes

SECTION 4: BROKERING

Sources Laws, regulations and administrative procedures

- PoA II.14 **7. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW?** Yes
- 7.1. List laws and/or administrative procedures regulating SALW brokering in your country.
The National Policy on Firearms
- 8.1.1 Are those laws and procedures part of the national arms export control system? --- Select ---
- 7.2. Does your country require registration of SALW brokers? Yes
- 7.3. Does your country require a licence, permit or other authorisation for each brokering transaction? Yes
- 8.4. Does your country have measures to validate the authenticity of documentation submitted by the broker? --- Select ---
- 7.4. Does your country regulate activities that are closely associated with the brokering of SALW?
7.4.1. If so which of the following activities are regulated when undertaken in connection with the brokering of SALW (check relevant boxes)?
- a) Acting as dealers or agents
- b) Providing technical assistance
- c) Training
- d) Transport
- e) Freight forwarding
- f) Storage
- g) Finance
- h) Insurance
- i) Maintenance
- j) Security
- k) Other services

Sources Actions taken during the reporting period

- 7.5 During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)? No

Sources International assistance

- PoA III.6 **8. Does your country wish to request assistance in developing laws, regulations or administrative procedures to regulate SALW brokering?** Yes
- 8.1. What kind of assistance do you require?

Financial and Technical Assistance

8.2. Has your country developed a project proposal for assistance? Yes **SECTION 5: STOCKPILE MANAGEMENT****Sources** **Laws, regulations and administrative procedures**PoA II.17 9. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW? Yes

PoA II.17 9.1. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?

- a) Appropriate locations for stockpiles
- b) Physical security measures
- c) Control of access to stocks
- d) Inventory management and accounting control
- e) Staff training
- f) Security, accounting and control of SALW held or transported by operational units or authorised personnel
- g) Procedures and sanctions in the event of theft or loss
- h) Other

Sources **Surplus**

PoA II.18 9.2. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?

- a) Officially declare as surplus
- b) Take out of service
- c) Record by type, lot, batch, and serial number
- d) Store separately
- e) Other

9.3. In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?

- a) Destruction
- b) Sale to another State
- c) Donation to another State
- d) Transfer to another state agency
- e) Sale to civilians
- f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.)
- g) Other

Sources **Actions taken during the reporting period**PoA II.19 9.4. During the reporting period, has your country destroyed surplus stocks? Yes

PoA II.20 9.4.1. How many SALW were destroyed?

various types of SALW, 1983 tons of UXO

9.4.2. Any further comments regarding destruction?

Destruction activities can only be done with availability of funds

Sources **International assistance**PoA II.29; III.6 10. Does your country wish to request assistance in developing standards and procedures on stockpile management? Yes

10.1. What kind of assistance do you require?

Financial and Technical Assistance

10.2. Has your country developed a project proposal for assistance? Yes

- PoA III.6; 14 **11. Does your country wish to request assistance in developing capacity for the destruction of weapons?** Yes
- 11.1. What kind of assistance do you require?**
Verification, Identification and collection of SALW and UXOs for destruction
- 11.2. Has your country developed a project proposal for assistance?** Yes

SECTION 6: COLLECTION

Sources Collection

- 12. During the reporting period, did your country collect any SALW?** Yes
- PoA II.21 **12.1. How many SALW were collected?**
- 12.2. What action was taken with respect to the SALW collected (check relevant boxes)?**
- a) Stored securely pending further action
- b) Marked
- c) Registered or recorded
- d) Destroyed
- e) Other

Sources International assistance

- PoA III.6 **13 Does your country wish to request assistance in building capacity for confiscation and seizure of the illicit SALW?** Yes
- 13.1. What kind of assistance do you require?**
Financial and Logistical Assistance
- 13.2. Has your country developed a project proposal for assistance?** Yes

SECTION 7: MARKING AND RECORD KEEPING

Sources Marking

- ITI 8d **14. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?** Yes
- 14.1. Describe the markings that are applied to government-held stocks.**
UG*, Agency code and Serial number
- ITI 8c **14.2. When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?** Yes
- ITI 8e **15. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?** Yes
- 15.1 Details**
Upcoming legislation has a relevant provision. Uganda does not manufacture SALW

Record-keeping

- PoA II.9 **16. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory?** Yes
- 16.1. What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc)?**
The Central Firearms Registry(CFR) maintains records for Imports, Exports, Dealers, Gun Smiths as well as Agencies and Civilian holding firearms
- ITI 12 a,b **16.2. How long does the government keep such records?**
For not less than 25 years
- ITI 13 **16.3. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?** Yes

Sources International assistance

- PoA III.6, ITI 27 **17. Does your country wish to request assistance in building capacity for record-keeping?** Yes

17.1. What kind of assistance do you require?

International assistance

17.2. Has your country developed a project proposal for assistance?

Yes

SECTION 8: INTERNATIONAL TRACING

Sources Laws, regulations and administrative procedures

PoA II.10;
ITI 14, 24

18. Does your country have procedures in place to trace SALW?

Yes

Sources Tracing requests

ITI 25; 31a

18.1. Which government agency is responsible for making a tracing request to another country?

National Central Bureau (Interpol)

ITI 17

18.2. What information does the designated agency include in a tracing request? (check relevant boxes)

- a) Circumstances under which the SALW was found
- b) Reasons why the SALW is considered to be illegal or illicit
- c) The intended use of the information being sought
- d) Any markings on the SALW
- e) Type/calibre of SALW
- f) Other

Sources Cooperation with INTERPOL

PoA II.37; ITI 33

19. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL) ?

Yes

Sources International assistance

PoA II.36;
III.6; ITI 27

2420. Does your country wish to request assistance in developing procedures to trace SALW? <td>

Yes

&n 20.1. What kind of assistance do you require?

Technical Assistance

20.2. Has your country developed a project proposal for assistance?

No

PoA III.10; > ITI 25
28

2521. Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW? <td>

No

&n

SECTION 9: INTERNATIONAL COOPERATION & ASSISTANCE

Sources Assistance requested / received / provided

PoA III.3, 6

2622. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI? <td>

Yes

PoA III.16, PoA &n
III. 6, PoA III.7,
PoA III.18, PoA
III. 15

22.1 If so, in what areas (check relevant boxes)?

a. Establishing/designating National Coordination Agency/National Point of Contact

Received

a) Nature of the assistance:

i) financial Received

ii) technical --- Select ---

b) Amount of assistance provided/received (if financial):

\$50,000 from UK and \$40,000 from RECSA WRA funds (US).

c) Description of the assistance activity:

Verification, identification, collection and destruction of redundant and decommissioned UXOs. Acquisition of Gunboxes.

d) Duration of the assistance provided/received:

1 year

e) State(s) or organization(s) that provided/received the assistance:

British High Commission in Kampala, RECSA.

b. Disarmament, demobilization and reintegration (DDR)

--- Select ---

c. Capacity-building and training on SALW issues

Requested

a) Nature of the assistance:

i) financial Requested

ii) technical Requested

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

UK and RECSA, as well as US (Embassy).

d) Duration of the assistance provided/received:

1 year

e) State(s) or organization(s) that provided/received the assistance:

UNDP, UK and RECSA, as well as US (Embassy).

d. Law enforcement

--- Select ---

e. Customs and borders

Received

a) Nature of the assistance:

i) financial Received

ii) technical --- Select ---

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

6 pick-up vehicles for border control.

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

Japan International Cooperation Agency (JICA)

f. Action-oriented research

Requested

a) Nature of the assistance:

i) financial --- Select ---

ii) technical --- Select ---

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

g. Children/youth

Requested

a) Nature of the assistance:

i) financial --- Select ---

ii) technical --- Select ---

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

h. Awareness-raising

Requested

a) Nature of the assistance:

i) financial

ii) technical

b) The amount of assistance provided/received (if financial):

c) Description of the assistance activity:

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

i. Organized crime, drug trafficking and terrorism

a) Nature of the assistance:

i) financial

ii) technical

b) Amount of assistance provided/received (if financial):

c) Description of the assistance activity:

Equipment e.g. walk-through detectors and probes, bomb detectors, vehicles for customs.

d) Duration of the assistance provided/received:

e) State(s) or organization(s) that provided/received the assistance:

j Other

Any further comments on PoA and ITI, including implementation challenges and opportunities?

Inadequate resources. Polous borders. Weak legal framework (under review). Instiutaional challenges, establishing district and regional task forces.

Additional information may be uploaded/attached, if necessary:

SECTION 10: INFORMATION TO BE SUBMITTED

Information on national marking practice

2823. Pursuant to paragraph 31 of the International Tracing Instrument, States will provide the Secretary-General with the following information, updating it when necessary:

a) National marking practices related to marking used to indicate country of manufacture and/or country of import as applicable.

Code: UG*, national identification number.

Additional information such as images and illustrations may be uploaded/attached, if necessary:

Note: Relevant information might have been provided in questions 4.2.1, 4.2.2 and 18.1 in this report.