



UN Programme of Action

National Reporting Tool

United Kingdom

2016

SECTION 1: POINTS OF CONTACT

Sources	National Coordination Agency
PoA II.4	<p>1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects? Yes ▾</p> <p>a) Name of agency : NABIS & National Crime Agency</p> <p>b) Address :</p> <p>c) Contact details :</p> <p>i) Contact person : Ian Head (NABIS) & Chris Steele (NCA)</p> <p>ii) Telephone number(s) : 0121 626 7156 / 01925 785726</p> <p>iv) Email : ian.head@west-midlands.pnn.police.uk christopher.steele@nca.x.gsi.gov.uk</p>

Sources	National Point of Contact
PoA II.5, 24	<p>2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)? Yes ▾</p> <p>2.1. Details:</p> <p>a) Name : Caroline Payne</p> <p>b) Organization or agency : Foreign and Commonwealth Office</p> <p>c) Address : Conventional Arms Policy Team, Arms Export Policy Department, King Charles Street, London, SW1A 2AH, UK.</p> <p>d) Telephone number(s) : +44 (0)20 7008 6399</p> <p>f) Email : caroline.payne@fco.gsi.gov.uk</p>
ITI 25	<p>2.2 Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)? No ▾</p>
ITI 25	<p>2.3 If the answer to Question 2.2 is 'no', does your country have a National Point of Contact for purposes of exchanging information and liaising on all matters relating to the ITI? Yes ▾</p> <p>2.3.1 Details:</p> <p>a) Name : Lee Barham, Head of Customs Enforcement Policy</p> <p>b) Organization or agency : HM Revenue & Customs</p> <p>c) Address : 1st Floor, Custom House Annexe, 32 St. Mary At Hill, London, EC3R 8DY</p> <p>d) Telephone number(s) : +44(0) 3000 585754</p> <p>f) Email : exports.strategic@hmrc.gsi.gov.uk</p>

SECTION 2: MANUFACTURE

Sources	Laws, regulations and administrative procedures
PoA II.2	<p>3. Are there any SALW manufactured in your country? Yes ▾</p> <p>3.1. Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW? Yes ▾</p> <p>3.1.1 List laws, regulations and/or administrative procedures regulating the manufacture of SALW in your country</p> <p>Firearms Act 1968; Gun Barrel Proof Act.</p> <p>3.1.2. Does your country license the manufacture of SALW? Yes ▾</p>
PoA II.3	<p>3.1.3. Is illegal manufacture of SALW considered a criminal offence in your country? Yes ▾</p>

Sources	Marking at manufacture
PoA II.7; ITI 8a	<p>3.2. Does your country require that SALW be marked at the time of manufacture? Yes ▾</p>
ITI 8a	<p>3.2.1. What information is included in the marking (check relevant boxes)?</p> <p>a) Name of the manufacturer <input checked="" type="checkbox"/></p> <p>b) Country of manufacture <input type="checkbox"/></p> <p>c) Serial number <input checked="" type="checkbox"/></p> <p>d) Year of manufacture <input type="checkbox"/></p> <p>e) Weapon type/model <input type="checkbox"/></p> <p>f) Caliber <input checked="" type="checkbox"/></p> <p>g) Other <input checked="" type="checkbox"/> Proofing</p>
ITI 10a	<p>3.2.2. What part of the SALW is marked? Weapon dependant.</p> <p>3.2.3 Are there exceptions to the requirement to mark SALW at the time of manufacture? No ▾</p>

Sources Record-keeping by manufactures

PoA II.9; ITI 11	3.3. Does your country require that manufacturers keep records of their activities?	Yes ▼
ITI 12a	3.3.1. What information must be recorded (check relevant boxes)?	
	a) Quantity of SALW manufactured	<input checked="" type="checkbox"/>
	b) Type or model of SALW manufactured	<input checked="" type="checkbox"/>
	c) Markings applied to manufactured SALW	<input checked="" type="checkbox"/>
	d) Transactions (e.g. sales of manufactured and marked SALW)	<input checked="" type="checkbox"/>
	e) Other	<input type="checkbox"/>
ITI 12a	3.3.2. How long must manufacturing records be kept? 5 years	Other ▼

Sources Actions taken during the reporting period

PoA II.6	3.4. During the reporting period, was action taken against groups or individuals engaged in illegal manufacturing of SALW (e.g. prosecution)?	--- Select --- ▼
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Sources International assistance

PoA III.6	4. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture?	No ▼
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SECTION 3: INTERNATIONAL TRANSFERS**Sources Laws, regulations and administrative procedures**

PoA II.2, 12	5. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?	Yes ▼
PoA II.11	5.1. List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW. Export and Transit The Export Control Act 2002 (Primary legislation) The Export Control Order 2008 (Secondary legislation) Import Import, Export and Custom Powers (Defence) Act 1939 (Primary legislation) Import of Goods (Control) Order 1954 (Secondary legislation) Export and Import (EC) The EC Weapons Directive (91/477/EEC) as amended by (2008/51/EC) Firearms Regulation No. 258/2012	

Sources Licensing and authorisation

PoA II.11	5.2. Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country?	Yes ▼
PoA II.3	5.3. Is it a criminal offence to trade SALW without a licence or authorisation, or to do so in a manner that is in contradiction to the terms of a licence or authorisation, in your country?	Yes ▼
PoA II.11	5.4. What kind of documentation does your country require prior to authorising an export of SALW to another country?	
PoA II.12	a) An end-user certificate (EUC) from the importing country	Yes ▼
	i) What elements does an end-user certificate in your country contain (check relevant boxes)?	
	1) Detailed description (type, quantity, characteristics) of the SALW or technology	<input checked="" type="checkbox"/>
	2) Contract number or order reference and date	<input type="checkbox"/>
	3) Final destination country	<input checked="" type="checkbox"/>
	4) Description of the end-use of the SALW	<input checked="" type="checkbox"/>
	5) Exporter's details (name, address and business name)	<input checked="" type="checkbox"/>
	6) End-user information (name, position, full address and original signature)	<input checked="" type="checkbox"/>
	7) Information on other parties involved in the transaction	<input checked="" type="checkbox"/>
	8) Certification by the relevant government authorities of the authenticity of the end-user	<input type="checkbox"/>
	9) Date of issue	<input checked="" type="checkbox"/>
	10) Other	<input type="checkbox"/>
	(1) Copy of relevant purchase order / contract where the consignee is a government body. (2) Special arrangements are in place for small arms under the terms of the EC Weapons Directive where a Prior Import Consent in lieu of an EUU is required from another MS. (3) For SALW exports outside the EU	<input checked="" type="checkbox"/>
	b) Other types of end-user documentation In certain circumstances, one of the following two alternatives may be accepted: • if the foreign buyer is a government body, then a purchase order or a copy of relevant pages from contracts may be accepted in lieu of an EUU • if the foreign importer can supply an International Import Certificate, this may be accepted in lieu of an EUU A Prior Import Consent in lieu of an EUU is required from the appropriate European Union member state when applying for an export licence for small arms export.	
PoA II.12	5.5. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided?	Yes ▼
	5.5.1 Details:	

Further enquiries undertaken in cases of suspicion.

5.6. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?

Yes ▼

5.6.1 Details:

Further enquiries undertaken in cases of suspicion.

Sources Post-delivery controls

5.7. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?

No ▼

5.8. After exporting, does your country verify or seek to authenticate DVCs provided?

No ▼

5.9. When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery?

No ▼

Sources Marking at import

ITI 8b 5.10. Does your country require that SALW imported into your country be marked at the time of import?

No ▼

5.10.3.1. If so, describe.

Sources Record-keeping

PoA II.9; ITI 12 5.11. Does your country require that exporters and importers of SALW keep records of their activities?

Yes ▼

5.11.1. What information must be recorded (check relevant boxes)?

- a) Quantity of SALW traded
- b) Type or model of SALW traded
- c) Markings appearing on transferred SALW
- d) Transactions
 - i) Identity of buyer/seller
 - ii) Country SALW are to be delivered to or purchased from
 - iii) Date of delivery
- e) Other

5.11.2. How long must records of transfers be kept?

Other ▼

Section 40 of the Firearms Act 1968 requires records to be kept for a minimum of 5 years.

Sources Actions taken during the reporting period

PoA II.6 5.12. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)?

--- Select --- ▼

Sources International assistance

PoA III.6 6. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?

No ▼

SECTION 4: BROKERING

Sources Laws, regulations and administrative procedures

PoA II.14

7. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW?

Yes ▼

7.1. List laws and/or administrative procedures regulating SALW brokering in your country.
The Export Control Act 2002 The Export Control Order 2008

8.1.1 Are those laws and procedures part of the national arms export control system?

--- Select --- ▼

7.2. Does your country require registration of SALW brokers?

No ▼

7.3 Does your country require a licence, permit or other authorisation for each brokering transaction?

Yes ▼

8.4 Does your country have measures to validate the authenticity of documentation submitted by the broker?

--- Select --- ▼

7.4 Does your country regulate activities that are closely associated with the brokering of SALW?

Yes ▼

7.4.1. If so which of the following activities are regulated when undertaken in connection with the brokering of SALW (check relevant boxes)?

- a) Acting as dealers or agents
- b) Providing technical assistance
- c) Training
- d) Transport
- e) Freight forwarding
- f) Storage
- g) Finance
- h) Insurance
- i) Maintenance
- j) Security
- k) Other services

Sources Actions taken during the reporting period

7.5 During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)?

--- Select --- ▼

Sources International assistance

PoA III.6

8. Does your country wish to request assistance in developing laws, regulations or administrative procedures to regulate SALW brokering?

No ▼

SECTION 5: STOCKPILE MANAGEMENT

Sources Laws, regulations and administrative procedures

PoA II.17

9. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW?

Yes ▼

PoA II.17

9.1. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?

- a) Appropriate locations for stockpiles
- b) Physical security measures
- c) Control of access to stocks
- d) Inventory management and accounting control
- e) Staff training
- f) Security, accounting and control of SALW held or transported by operational units or authorised personnel
- g) Procedures and sanctions in the event of theft or loss
- h) Other

Sources Surplus

- PoA II.18 **9.2. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?**
- a) Officially declare as surplus
 - b) Take out of service
 - c) Record by type, lot, batch, and serial number
 - d) Store separately
 - e) Other
- 9.3. In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?**
- a) Destruction
 - b) Sale to another State
 - c) Donation to another State
 - d) Transfer to another state agency
 - e) Sale to civilians
 - f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.)
 - g) Other

Sources Actions taken during the reporting period

- PoA II.19 **9.4. During the reporting period, has your country destroyed surplus stocks?** Yes
- PoA II.20 **9.4.1. How many SALW were destroyed?** 9837
- 9.4.2. Any further comments regarding destruction?**
 All weapons disposed were carried out via our main depot in Donnington, any weapons issued for disposal were captured by carrying out a download of disposals via COGNOS (disposal UIN CA060S). The following were destroyed; (NUM8) RIFLE CALIBRE.22: 773; (MOR81) CANNON, 81MM MORTAR: 2; (MOR51) MORTAR EQPT: 30; (L9A1) PISTOL, 9MM AUTOMATIC: 7596; (PYRO) PISTOL: 393; (RIOTG) L67A4 GUN RIOT: 9; (RIOTG) L127A1 FIRING DEVICE, NON-LETHAL: 102; (RIOTG) L67A4 GUN RIOT: 455; (C1) L7A2 MACHINE GUN: 46; (C2) L111A1 MACHINE GUN: 3; (C3) L110A2 MACHINE GUN, 5.56MM: 2; (L74) L74A2 SHOTGUN, 12 BORE: 4; (L115) RIFLE 8.59MM COMP: 5; (L32) L32A1 SHOTGUN: 88; (B4) SA80 FAMILY: 39; (L47A1) PISTOL, 7.65 MILL. AUTO: 200; (ANSCH) RIFLE, TARGET: 90.

Sources International assistance

- PoA II.29; III.6 **10. Does your country wish to request assistance in developing standards and procedures on stockpile management?** No
- PoA III.6; 14 **11. Does your country wish to request assistance in developing capacity for the destruction of weapons?** No

SECTION 6: COLLECTION

Sources Collection

- 12. During the reporting period, did your country collect any SALW?** --- Select ---

Sources International assistance

- PoA III.6 **13 Does your country wish to request assistance in building capacity for confiscation and seizure of the illicit SALW?** No

SECTION 7: MARKING AND RECORD KEEPING

Sources	Marking	
ITI 8d	<p>14. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?</p> <p>14.1. Describe the markings that are applied to government-held stocks. 1) Name of manufacturer 2) serial number 3) weapon type/model 4) calibre 5) proofing (testing)</p>	Yes ▾
ITI 8c	14.2. When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?	No ▾
ITI 8e	<p>15. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?</p> <p>15.1 Details</p>	Yes ▾

Record-keeping		
PoA II.9	<p>16. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory?</p> <p>16.1. What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc)? Import/export licences. SALW held by Armed Forces [MOD].</p>	Yes ▾
ITI 12 a,b	<p>16.2. How long does the government keep such records? Indefinitely.</p>	
ITI 13	16.3. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?	Yes ▾

Sources	International assistance	
PoA III.6, ITI 27	17. Does your country wish to request assistance in building capacity for record-keeping?	No ▾

SECTION 8: INTERNATIONAL TRACING

Sources	Law, regulations and administrative procedures	
PoA II.10; ITI 14, 24	18. Does your country have procedures in place to trace SALW?	Yes ▾

Sources	Tracing requests	
ITI 25; 31a	<p>18.1. Which government agency is responsible for making a tracing request to another country? NABIS have bulk uploaded their data to the iARMS system and are now the leading agency in the UK when a trace is required. Previously HM Revenue and Customs; UK Interpol</p>	
ITI 17	<p>18.2. What information does the designated agency include in a tracing request? (check relevant boxes)</p> <p>a) Circumstances under which the SALW was found <input checked="" type="checkbox"/></p> <p>b) Reasons why the SALW is considered to be illegal or illicit <input checked="" type="checkbox"/></p> <p>c) The intended use of the information being sought <input checked="" type="checkbox"/></p> <p>d) Any markings on the SALW <input checked="" type="checkbox"/></p> <p>e) Type/calibre of SALW <input checked="" type="checkbox"/></p> <p>f) Other <input checked="" type="checkbox"/> Make of weapon, manufacture and model</p>	

Sources Cooperation with INTERPOL

PoA II.37; ITI 33 19. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL) ?

--- Select --- ▼

Sources International assistance

PoA II.36; III.6; ITI 27 2420. Does your country wish to request assistance in developing procedures to trace SALW? td>

No ▼

&n

PoA III.10; ITI 28 2521. Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW?td>

No ▼

&n

SECTION 9: INTERNATIONAL COOPERATION & ASSISTANCEspan>

Sources Assistance requested / received / provided

PoA III.3, 6 2622. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI?td>

--- Select --- ▼

&n

Any further comments on PoA and ITI, including implementation challenges and opportunities?td>

Additional information may be uploaded/attached, if necessary:

SECTION 10: INFORMATION TO BE SUBMITTED

Information on national marking practice

2823. Pursuant to paragraph 31 of the International Tracing Instrument, States will provide the Secretary-General with the following information, updating it when necessary:td>

- a) National marking practices related to marking used to indicate country of manufacture and/or country of import as applicable.

Additional information such as images and illustrations may be uploaded/attached, if necessary:

Note: Relevant information might have been provided in questions 4.2.1, 4.2.2 and 18.1 in this report.