Zambia

SECTION 1: POINTS OF CONTACT

1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects?

2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)?

2.1. Details:
   a) Name: Chilufya Wanchinga
   b) Organization or agency: Zambia Police
   c) Address: P.O. Box 50103, Lusaka, Zambia
   d) Telephone number(s): +260961871516
   f) Email: cmwanchinga@gmail.com

2.2. Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)?

SECTION 2: MANUFACTURE

3. Are there any SALW manufactured in your country?

SECTION 3: INTERNATIONAL TRANSFERS

5. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?

5.1. List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW.
Firearms Act

5.2. Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country?

5.3. Is it a criminal offence to trade SALW without a licence or authorisation, or to do so in a manner that is in contradiction to the terms of a licence or authorisation, in your country?

5.4. What kind of documentation does your country require prior to authorising an export of SALW to another country?

PoA II.12

a) An end-user certificate (EUC) from the importing country
b) Other types of end-user documentation

5.5. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided?

5.6. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?

--- Select ---

Sources Post-delivery controls

5.7. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?

--- Select ---

5.8. After exporting, does your country verify or seek to authenticate DVCs provided?

--- Select ---

5.9. When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery?

--- Select ---

Sources Marking at import

ITI 8b

5.10. Does your country require that SALW imported into your country be marked at the time of import?

Yes

5.10.1. Who is required to mark the SALW?

The Firearms Registrar

5.10.2. What information is included in the marking on import (check relevant boxes)?

a) Country of import
b) Year of import
c) Other

A serial number or any mark satisfactory to the Registrar

5.10.3. Are there exceptions to the requirement to mark imported SALW?

--- Select ---

5.10.4. If marked SALW imported into your country do not bear a unique marking when they arrive, does your country require that they be given such a marking?

Yes

5.10.4.1 Details:

They must have a serial number

Sources Record-keeping

PoA II.9; ITI 12

5.11. Does your country require that exporters and importers of SALW keep records of their activities?

--- Select ---

Sources Actions taken during the reporting period

PoA II.6

5.12. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)?

--- Select ---

Sources International assistance

PoA III.6

6. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?

--- Select ---
SECTION 4: BROKERING

7. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW?  No

SECTION 5: STOCKPILE MANAGEMENT

9. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW?  Yes

9.1. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?
   - a) Appropriate locations for stockpiles
   - b) Physical security measures
   - c) Control of access to stocks
   - d) Inventory management and accounting control
   - e) Staff training
   - f) Security, accounting and control of SALW held or transported by operational units or authorised personnel
   - g) Procedures and sanctions in the event of theft or loss
   - h) Other

9.2. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?
   - a) Officially declare as surplus
   - b) Take out of service
   - c) Record by type, lot, batch, and serial number
   - d) Store separately
   - e) Other

9.3. In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?
   - a) Destruction
   - b) Sale to another State
   - c) Donation to another State
   - d) Transfer to another state agency
   - e) Sale to civilians
   - f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.)
**Sources**

### Actions taken during the reporting period

<table>
<thead>
<tr>
<th>Sources</th>
<th>9.4. During the reporting period, has your country destroyed surplus stocks?</th>
</tr>
</thead>
<tbody>
<tr>
<td>PoA II.19</td>
<td>Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sources</th>
<th>9.4.1. How many SALW were destroyed?</th>
</tr>
</thead>
<tbody>
<tr>
<td>PoA II.20</td>
<td></td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Sources</th>
<th>9.4.2. Any further comments regarding destruction?</th>
</tr>
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<td></td>
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</table>

### International assistance

<table>
<thead>
<tr>
<th>Sources</th>
<th>10. Does your country wish to request assistance in developing standards and procedures on stockpile management?</th>
</tr>
</thead>
<tbody>
<tr>
<td>PoA II.29; III.6</td>
<td>Yes</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sources</th>
<th>10.1. What kind of assistance do you require?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Training on stockpile management</td>
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</tbody>
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<thead>
<tr>
<th>Sources</th>
<th>10.2. Has your country developed a project proposal for assistance?</th>
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<tbody>
<tr>
<td></td>
<td>No</td>
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<table>
<thead>
<tr>
<th>Sources</th>
<th>11. Does your country wish to request assistance in developing capacity for the destruction of weapons?</th>
</tr>
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<tbody>
<tr>
<td>PoA III.6; 14</td>
<td>Yes</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Sources</th>
<th>11.1. What kind of assistance do you require?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>equipment for destruction</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sources</th>
<th>11.2. Has your country developed a project proposal for assistance?</th>
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<tbody>
<tr>
<td></td>
<td>No</td>
</tr>
</tbody>
</table>

### Collection

<table>
<thead>
<tr>
<th>Sources</th>
<th>12. During the reporting period, did your country collect any SALW?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>No</td>
</tr>
</tbody>
</table>

### International assistance

<table>
<thead>
<tr>
<th>Sources</th>
<th>13. Does your country wish to request assistance in building capacity for confiscation and seizure of the illicit SALW?</th>
</tr>
</thead>
<tbody>
<tr>
<td>PoA III.6</td>
<td>No</td>
</tr>
</tbody>
</table>

### Marking

<table>
<thead>
<tr>
<th>Sources</th>
<th>14. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked?</th>
</tr>
</thead>
<tbody>
<tr>
<td>ITI 8d</td>
<td>Yes</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Sources</th>
<th>14.1. Describe the markings that are applied to government-held stocks.</th>
</tr>
</thead>
<tbody>
<tr>
<td>ITI 8d</td>
<td>serial numbers on firearms</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Sources</th>
<th>14.2. When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks?</th>
</tr>
</thead>
<tbody>
<tr>
<td>ITI 8c</td>
<td>--- Select ---</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Sources</th>
<th>15. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings?</th>
</tr>
</thead>
<tbody>
<tr>
<td>ITI 8e</td>
<td>--- Select ---</td>
</tr>
</tbody>
</table>
### Record-keeping

**PoA II.9**

16. **Does your country have standards and procedures related to keeping of records for all marked SALW in its territory?**

- **Yes**

16.1. What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc)?

we have manual systems to keep stock of state and civilian firearms

**ITI 12 a,b**

16.2. **How long does the government keep such records?**

**ITI 13**

163. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?

--- Select ---

### International assistance

**PoA III.6, ITI 27**

17. **Does your country wish to request assistance in building capacity for record-keeping?**

- **Yes**

17.1. **What kind of assistance do you require?**

- Record Keeping Management

17.2. **Has your country developed a project proposal for assistance?**

- **No**

### SECTION 8: INTERNATIONAL TRACING

**PoA II.10; ITI 14, 24**

18. **Does your country have procedures in place to trace SALW?**

- **Yes**

**ITI 25; 31a**

18.1. **Which government agency is responsible for making a tracing request to another country?**

- Zambia Police and INTERPOL NCB

**ITI 17**

18.2. **What information does the designated agency include in a tracing request? (check relevant boxes)**

- a) Circumstances under which the SALW was found
- b) Reasons why the SALW is considered to be illegal or illicit
- c) The intended use of the information being sought
- d) Any markings on the SALW
- e) Type/calibre of SALW
- f) Other

**PoA II.37; ITI 33**

19. **During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL)?**

- **Yes**

**ITI 28**

20. **Does your country wish to request assistance in developing procedures to trace SALW?**

- **No**

21. **Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW?**

--- Select ---
SECTION 9: INTERNATIONAL COOPERATION & ASSISTANCE

2622. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI? Yes

22.1 If so, in what areas (check relevant boxes)?

- a. Establishing/designating National Coordination Agency/National Point of Contact
- b. Disarmament, demobilization and reintegration (DDR)
- c. Capacity-building and training on SALW issues
- d. Law enforcement
- e. Customs and borders
- f. Action-oriented research
- g. Children/youth
- h. Awareness-raising
- i. Organized crime, drug trafficking and terrorism
- j. Other

Botswana police assisted us with training on using the ISS marking amchine in 2015. RECSA has provided two marking machines in May 2016 and also provided training on use of the machines

Any further comments on PoA and ITI, including implementation challenges and opportunities?

Funding for the marking programme is proving to be a challenge. We are ready to mark, just the funding needed. Zambia has ratified the Arms Trade Treaty so that is a plus in the accountability area.

Additional information may be uploaded/attached, if necessary:

SECTION 10: INFORMATION TO BE SUBMITTED

2823. Pursuant to paragraph 31 of the International Tracing Instrument, States will provide the Secretary-General with the following information, updating it when necessary:

- a) National marking practices related to marking used to indicate country of manufacture and/or country of import as applicable.

Additional information such as images and illustrations may be uploaded/attached, if necessary:

Note: Relevant information might have been provided in questions 4.2.1, 4.2.2 and 18.1 in this report.