



UN Programme of Action

National Reporting Tool

Japan

2016

SECTION 1: POINTS OF CONTACT

Sources	National Coordination Agency
PoA II.4	<p>1. Has your country established a National Coordination Agency or other body responsible for policy guidance, research and monitoring of efforts to prevent, combat and eradicate the illicit trade in SALW in all its aspects?</p> <p style="text-align: right;">No <input type="button" value="v"/></p>

Sources	National Point of Contact
PoA II.5, 24	<p>2. Does your country have a National Point of Contact designated to act as a liaison on matters relating to the implementation of the UN Programme of Action on Small Arms (PoA)?</p> <p style="text-align: right;">Yes <input type="button" value="v"/></p> <p>2.1. Details:</p> <p>a) Name : b) Organization or agency : Conventional Arms Division, Disarmament, Non-proliferation and Science Department, Ministry of Foreign Affairs c) Address : 2-2-1, Kasumigaseki, Chiyoda-ku, Tokyo, 100-8919, Japan d) Telephone number(s) : +81-3-5501-8223 f) Email : guntsu@mofa.go.jp</p>
ITI 25	<p>2.2. Is the National Point of Contact identified above also responsible for exchanging information and liaising on matters relating to the International Tracing Instrument (ITI)?</p> <p style="text-align: right;">Yes <input type="button" value="v"/></p>

SECTION 2: MANUFACTURE

Sources	Laws, regulations and administrative procedures
PoA II.2	<p>3. Are there any SALW manufactured in your country?</p> <p style="text-align: right;">Yes <input type="button" value="v"/></p> <p>3.1. Does your country have laws, regulations and/or administrative procedures to exercise effective control over the manufacture of SALW?</p> <p style="text-align: right;">Yes <input type="button" value="v"/></p> <p>3.1.1 List laws, regulations and/or administrative procedures regulating the manufacture of SALW in your country -Ordnance Manufacturing Law -Explosives Control Law</p> <p>3.1.2. Does your country license the manufacture of SALW? Yes <input type="button" value="v"/></p> <p>PoA II.3 3.1.3. Is illegal manufacture of SALW considered a criminal offence in your country? Yes <input type="button" value="v"/></p>

Sources	Marking of manufacture
PoA II.7; ITI 8a	<p>3.2. Does your country require that SALW be marked at the time of manufacture?</p> <p style="text-align: right;">Yes <input type="button" value="v"/></p>
ITI 8a	<p>3.2.1. What information is included in the marking (check relevant boxes)?</p> <p>a) Name of the manufacturer <input type="checkbox"/> b) Country of manufacture <input type="checkbox"/> c) Serial number <input checked="" type="checkbox"/> d) Year of manufacture <input type="checkbox"/> e) Weapon type/model <input checked="" type="checkbox"/> f) Caliber <input type="checkbox"/> g) Other <input checked="" type="checkbox"/></p> <p style="text-align: right;">It depends on the types of SALW (For instance, Marks of Self Defence Force (the Ground, Maritime, or Air))</p>
ITI 10a	<p>3.2.2. What part of the SALW is marked? he part for marking depends on SALW's types.</p> <p>3.2.3 Are there exceptions to the requirement to mark SALW at the time of manufacture?</p> <p style="text-align: right;">No <input type="button" value="v"/></p>

Sources	Record-keeping by manufactures
PoA II.9; ITI 11	<p>3.3. Does your country require that manufacturers keep records of their activities?</p> <p style="text-align: right;">Yes <input type="button" value="v"/></p>
ITI 12a	<p>3.3.1. What information must be recorded (check relevant boxes)?</p> <p>a) Quantity of SALW manufactured <input checked="" type="checkbox"/> b) Type or model of SALW manufactured <input checked="" type="checkbox"/> c) Markings applied to manufactured SALW <input checked="" type="checkbox"/></p>

	d) Transactions (e.g. sales of manufactured and marked SALW)	<input checked="" type="checkbox"/>	
	e) Other	<input checked="" type="checkbox"/>	date of manufacture etc.
ITI 12a	3.3.2. How long must manufacturing records be kept? See 1.VII of Chapter 2 of the attachment for detail.		Other <input type="text"/>

Sources Actions taken during the reporting period

PoA II.6	3.4. During the reporting period, was action taken against groups or individuals engaged in illegal manufacturing of SALW (e.g. prosecution)?	No <input type="text"/>
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Sources International assistance

PoA III.6	4. Does your country wish to request assistance in developing laws, regulations and/or administrative procedures regarding SALW manufacture?	No <input type="text"/>
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SECTION 3: INTERNATIONAL TRANSFERS

Sources Laws, regulations and administrative procedures

PoA II.2, 12	5. Does your country have laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?	Yes <input type="text"/>
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PoA II.11	5.1. List laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW. -Explosives Control Law -Foreign Exchange and Foreign Trade Law -Customs Law -Firearms and Swords Control Law See Chapter 3 of the attachment for detail.	
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Sources Licensing and authorisation

PoA II.11	5.2. Does a person or an entity who transfers SALW require a licence or other form of authorisation to transfer SALW from/into your country?	Yes <input type="text"/>
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PoA II.3	5.3. Is it a criminal offence to trade SALW without a licence or authorisation, or to do so in a manner that is in contradiction to the terms of a licence or authorisation, in your country?	Yes <input type="text"/>
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PoA II.11	5.4. What kind of documentation does your country require prior to authorising an export of SALW to another country?	
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PoA II.12	a) An end-user certificate (EUC) from the importing country	Yes <input type="text"/>
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- i) What elements does an end-user certificate in your country contain (check relevant boxes)?
- 1) Detailed description (type, quantity, characteristics) of the SALW or technology
 - 2) Contract number or order reference and date
 - 3) Final destination country
 - 4) Description of the end-use of the SALW
 - 5) Exporter's details (name, address and business name)
 - 6) End-user information (name, position, full address and original signature)
 - 7) Information on other parties involved in the transaction
 - 8) Certification by the relevant government authorities of the authenticity of the end-user
 - 9) Date of issue
 - 10) Other

PoA II.12	b) Other types of end-user documentation	
	5.5. Does your country verify or seek to authenticate EUCs or other types of end-user documentation provided?	No <input type="text"/>

	5.6. Does your country have measures in place aimed at preventing the forgery and misuse of EUCs or other types of end-user documentation?	Yes <input type="text"/>
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5.6.1 Details:

Sources Post-delivery controls

	5.7. When exporting, does your country require a Delivery Verification Certificate (DVC) to confirm that SALW have reached their intended end-user or intended importer in the importing State?	No <input type="text"/>
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	5.8. After exporting, does your country verify or seek to authenticate DVCs provided?	No <input type="text"/>
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	5.9. When importing, does your country grant the right to the exporting State to conduct a physical check at the point of delivery?	No <input type="text"/>
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Sources Marking at import

ITI 8b	5.10. Does your country require that SALW imported into your country be marked at the time of import?	No <input type="text"/>
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Sources Record-keeping

PoA II.9; ITI 12	5.11. Does your country require that exporters and importers of SALW keep records of their activities?	<input type="text" value="Yes"/>
	5.11.1. What information must be recorded (check relevant boxes)?	
	a) Quantity of SALW traded	<input checked="" type="checkbox"/>
	b) Type or model of SALW traded	<input checked="" type="checkbox"/>
	c) Markings appearing on transferred SALW	<input type="checkbox"/>
	d) Transactions	<input checked="" type="checkbox"/>
	i) Identity of buyer/seller	<input checked="" type="checkbox"/>
	ii) Country SALW are to be delivered to or purchased from	<input checked="" type="checkbox"/>
	iii) Date of delivery	<input checked="" type="checkbox"/>
	e) Other	<input type="checkbox"/>
	5.11.2. How long must records of transfers be kept?	<input type="text" value="Other"/>

Sources Actions taken during the reporting period

PoA II.6	5.12. During the reporting period, was action taken against groups or individuals engaged in transferring SALW illegally (e.g. prosecution)?	<input type="text" value="Yes"/>
	5.12.1 Details.	

Sources International assistance

PoA III.6	6. Does your country wish to request assistance in developing laws, regulations or administrative procedures to exercise effective control over the export, import, transit or retransfer of SALW?	<input type="text" value="No"/>
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SECTION 4: BROKERING**Sources Laws, regulations and administrative procedures**

PoA II.14	7. Does your country have laws, regulations and/or administrative procedures governing brokering of SALW?	<input type="text" value="Yes"/>
	7.1. List laws and/or administrative procedures regulating SALW brokering in your country. Foreign Exchange and Foreign Trade Law, Firearms and Swords Control Act	
	8.1.1 Are those laws and procedures part of the national arms export control system?	<input type="text" value="Yes"/>
	7.2. Does your country require registration of SALW brokers?	<input type="text" value="No"/>
	7.3. Does your country require a licence, permit or other authorisation for each brokering transaction?	<input type="text" value="Yes"/>
	8.4. Does your country have measures to validate the authenticity of documentation submitted by the broker?	<input type="text" value="No"/>
	7.4. Does your country regulate activities that are closely associated with the brokering of SALW?	<input type="text" value="No"/>

Sources Actions taken during the reporting period

	7.5 During the reporting period, was action taken against groups or individuals engaged in illegal brokering (e.g. prosecution)?	<input type="text" value="No"/>
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Sources International assistance

PoA III.6	8. Does your country wish to request assistance in developing laws, regulations or administrative procedures to regulate SALW brokering?	<input type="text" value="No"/>
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SECTION 5: STOCKPILE MANAGEMENT

Sources Laws, regulations and administrative procedures

- PoA II.17 9. Does your country have standards and procedures relating to the management and security of SALW held by the armed forces, police or any other entity authorised to hold SALW?
- PoA II.17 9.1. If so, which of the following provisions are included in these standards and procedures (check relevant boxes)?
- a) Appropriate locations for stockpiles
 - b) Physical security measures
 - c) Control of access to stocks
 - d) Inventory management and accounting control
 - e) Staff training
 - f) Security, accounting and control of SALW held or transported by operational units or authorised personnel
 - g) Procedures and sanctions in the event of theft or loss
 - h) Other

Sources Surplus

- PoA II.18 9.2. When stocks are identified as surplus, what actions does your country take with regard to the surplus (check relevant boxes)?
- a) Officially declare as surplus
 - b) Take out of service
 - c) Record by type, lot, batch, and serial number
 - d) Store separately
 - e) Other stored under strict control
- 9.3. In disposing of the surplus stocks, which of the following methods may be used (check relevant boxes)?
- a) Destruction
 - b) Sale to another State
 - c) Donation to another State
 - d) Transfer to another state agency
 - e) Sale to civilians
 - f) Sale or transfer to legal entities (e.g. museums, private security companies, etc.)
 - g) Other
- When stocks are identified unnecessary to store, dispose it by destroying its capabilities

Sources Actions taken during the reporting period

- PoA II.19 9.4. During the reporting period, has your country destroyed surplus stocks?
- PoA II.20 9.4.1. How many SALW were destroyed?
During reporting period, 663 unrepaired handguns and 7786 ond handguns were destroyed by melting.
- 9.4.2. Any further comments regarding destruction?

Sources International assistance

PoA II.29; III.6 10. Does your country wish to request assistance in developing standards and procedures on stockpile management? No ▾

PoA III.6; 14 11. Does your country wish to request assistance in developing capacity for the destruction of weapons? No ▾

SECTION 6: COLLECTION

Sources Collection

12. During the reporting period, did your country collect any SALW? Yes ▾

PoA II.21 12.1. How many SALW were collected?
In 2014, the police collected 27 handguns by voluntary surrender. (the statistics of collected handguns and small arms in 2015 are in preparation)

12.2. What action was taken with respect to the SALW collected (check relevant boxes)?

- a) Stored securely pending further action
- b) Marked
- c) Registered or recorded
- d) Destroyed
- e) Other

no data

Sources International assistance

PoA III.6 13 Does your country wish to request assistance in building capacity for confiscation and seizure of the illicit SALW? No ▾

SECTION 7: MARKING AND RECORD KEEPING

Sources Marking

ITI 8d 14. Does your country take measures to ensure that all SALW in the possession of government armed and security forces for their own use are duly marked? Yes ▾

14.1. Describe the markings that are applied to government-held stocks.

SALW held by government: Governmental agency's mark, name of SALW, serial number (year of production), trademark of company. SALW held by Self Defence Force: mainly serial number, types of weapons, and marks of its mark (military, maritime, or air Force), though it depends on types of SALW. SALW held by police have to be recorded on files whenever being taken out from and put back into storages. SALW held by Correction Bureau and the Immigration Bureau of the Ministry of Justice have to be kept in locked storages, and the management status of the SALW has to be made clear at all times by the books regarding the management of it. SALW held by the Japan Coast Guard have to be marked by the mark of Japanese Coast Guard, name of handguns, serial numbers, trademark.

ITI 8c 14.2. When government stocks are transferred to civilians or private companies in your territory, are such stocks marked to indicate that your government transferred the stocks? --- Select --- ▾

ITI 8e 15. Does your country encourage manufacturers of SALW to develop measures against the removal or alteration of markings? No ▾

Record-keeping

PoA II.9 16. Does your country have standards and procedures related to keeping of records for all marked SALW in its territory? Yes ▾

16.1. What records relating to SALW are kept by the State (e.g. manufacturing, brokering, import and export licences granted, sales to other States, SALW held by State agencies such as the armed forces etc)?

Records of all marked SALW are kept. Information of records including serial numbers, registered numbers, models, information of manufacture, numbers of manufacture, dates of manufacture and dealers of SALW are kept as necessary. The records are mainly kept in files. "Explosive Control Law" does not stipulate regulations on record keeping of ammunition and explosives from viewpoints of their identification and tracing. However, it provides that manufactures and sellers of ammunition and explosives should record their types and amounts, dates of trades, and names and

addresses of customers on the books, from viewpoints to manage explosives properly and prevent explosives-related disasters

- ITI 12 a,b **16.2. How long does the government keep such records?**
SALW of police: their records are kept during their possession. SALW of the Self Defence Forces: their records are kept during their possession and after a year of its disposal. SALW of Coast Guard: their records are kept during their possession. "Ordnance Manufacturing Law" requires manufacturers to keep the records, but does not stipulate the duration that records should be kept. Practically, almost all manufacturers keep the records more than 10 years
- ITI 13 **163. In the event that they go out of business, are companies engaged in SALW activities (e.g. manufacturing, importing, exporting etc) required to submit all records held by them to the government?** No ▾

Sources International assistance

- PoA III.6, ITI 27 **17. Does your country wish to request assistance in building capacity for record-keeping?** No ▾

SECTION 8: INTERNATIONAL TRACING

Sources Laws, regulations and administrative procedures

- PoA II.10; ITI 14, 24 **18. Does your country have procedures in place to trace SALW?** Yes ▾

Sources Tracing requests

- ITI 25; 31a **18.1. Which government agency is responsible for making a tracing request to another country?**
The National Police Agency is responsible for making a tracing request through diplomatic channel and ICPO.
- ITI 17 **18.2. What information does the designated agency include in a tracing request? (check relevant boxes)**
 - a) Circumstances under which the SALW was found
 - b) Reasons why the SALW is considered to be illegal or illicit
 - c) The intended use of the information being sought
 - d) Any markings on the SALW
 - e) Type/calibre of SALW
 - f) Other Distribution route, buyers

Sources Cooperation with INTERPOL

- PoA II.37; ITI 33 **19. During the reporting period, has your country cooperated on the issue of tracing SALW with the International Criminal Police Organization (INTERPOL) ?** Yes ▾

Sources International assistance

- PoA II.36; III.6; ITI 27 **2420.Does your country wish to request assistance in developing procedures to trace SALW? td>** No ▾
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- PoA III.10;r> ITI 28 **2521.Has your country considered providing assistance to examine technologies to improve the tracing and detection of illicit SALW?td>** No ▾
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SECTION 9: INTERNATIONAL COOPERATION & ASSISTANCEspan>

Sources Assistance requested / received / provided

PoA III.3, 6 2622. During the reporting period, in addition to the assistance requested/received mentioned in the Sections 2-8 above, has your country requested / received / provided assistance to implement the PoA and ITI?td> Yes

PoA III.16, PoA III. 6, PoA III.7, PoA III.18, PoA III. 15

22.1 If so, in what areas (check relevant boxes)?

- a. Establishing/designating National Coordination Agency/National Point of Contact
- b. Disarmament, demobilization and reintegration (DDR)
 - a) Nature of the assistance:
 - i) financial
 - ii) technical
 - b) Amount of assistance provided/received (if financial):
please see attached
 - c) Description of the assistance activity:
please see attached
 - d) Duration of the assistance provided/received:
please see attached
 - e) State(s) or organization(s) that provided/received the assistance:
please see attached
- c. Capacity-building and training on SALW issues
 - a) Nature of the assistance:
 - i) financial
 - ii) technical
 - b) Amount of assistance provided/received (if financial):
please see attached
 - c) Description of the assistance activity:
please see attached
 - d) Duration of the assistance provided/received:
please see attached
 - e) State(s) or organization(s) that provided/received the assistance:
please see attached
- d. Law enforcement
 - a) Nature of the assistance:
 - i) financial
 - ii) technical
 - b) Amount of assistance provided/received (if financial):
please see attached
 - c) Description of the assistance activity:
please see attached
 - d) Duration of the assistance provided/received:
please see attached
 - e) State(s) or organization(s) that provided/received the assistance:
please see attached
- e. Customs and borders
- f. Action-oriented research
- g. Children/youth
- h. Awareness-raising
- i. Organized crime, drug trafficking and terrorism
- j Other

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Any further comments on PoA and ITI, including implementation challenges and opportunities?td>

Additional information may be uploaded/attached, if necessary:
[Download](#)

SECTION 10: INFORMATION TO BE SUBMITTED

Information on national marking practice

2823. Pursuant to paragraph 31 of the International Tracing Instrument, States will provide the Secretary-General with the following information, updating it when necessary:td>
a)

National marking practices related to marking used to indicate country of manufacture and/or country of import as applicable.

N.A.

Additional information such as images and illustrations may be uploaded/attached, if necessary:

Note: Relevant information might have been provided in questions 4.2.1, 4.2.2 and 18.1 in this report.